

#3

PATENT

ATTORNEY DOCKET NO.: 046700-5004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marc ALAIA *et al.*

Serial No.: 09/252,790

Filed: February 19, 1999

For: METHOD AND SYSTEM FOR
CONDUCTING ELECTRONIC
AUCTIONS



Group Art Unit: 2761

Examiner: Unassigned

Assistant Commissioner for Patents
Washington, D.C. 20231

DECLARATION FOR PATENT APPLICATION

Sir:

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **Method and System for Conducting Electronic Auctions**, the specification of which was filed on February 19, 1999.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby declare that all statements made herein of my knowledge are true and that all statements made of information and belief are believed to be true; and further that these statements were made with a knowledge that willful false statements in like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code

and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR 1		
Family Name ALAIA	First Given Name Marc	Second Given Name
City Glenshaw	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 113 Grandview Avenue	City Glenshaw	State Pennsylvania 15116
Date	Signature	

INVENTOR 2		
Family Name BECKER	First Given Name David	Second Given Name J.
City Sewickley	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 22 Sewickley Hills Drive	City Sewickley	State Pennsylvania 15143
Date	Signature	

INVENTOR 3		
Family Name BERNARD	First Given Name Anthony	Second Given Name F.
City Wexford	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 2518 Lindenwood Drive	City Wexford	State Pennsylvania 15090
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INVENTOR 4		
Family Name HECKMANN	First Given Name Daniel	Second Given Name C.
City Pittsburgh	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 4889 East Willock Road	City Pittsburgh	State Pennsylvania 15227
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INVENTOR 5		
Family Name KINNEY, JR.	First Given Name Sam	Second Given Name E.
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INVENTOR 6		
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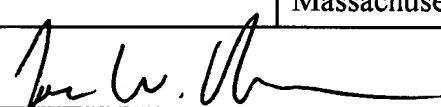
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INVENTOR 7		
Family Name RAGO	First Given Name Vincent	Second Given Name F.
City Pittsburgh	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 15 Roxbury Road	City Pittsburgh	State Pennsylvania 15221
Date	Signature	

INVENTOR 8		
Family Name RENEAU	First Given Name Jason	Second Given Name W.
City Boston	State / Country Massachusetts, U.S.A.	Citizenship U.S.A.
Post Office Address 403 Marlborough Street	City Boston	State Massachusetts 02115
Date 4/19/99	Signature 	

INVENTOR 9		
Family Name ROBERTS	First Given Name Frederick	Second Given Name W.
City Pittsburgh	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 5 Grandview Avenue, Apt. 803	City Pittsburgh	State Pennsylvania 15221
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INVENTOR 10		
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City Pittsburgh	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 2151 Cayuga Drive	City Pittsburgh	State Pennsylvania 15239
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INVENTOR 11		
Family Name STEVENS	First Given Name Robert	Second Given Name G.
City Pittsburgh	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
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City Glenshaw	State / Country Pennsylvania, U.S.A.	Citizenship U.S.A.
Post Office Address 113 Grandview Avenue	City Glenshaw	State Pennsylvania 15116
Date <i>April 6, 1999</i>	Signature <i>Marc Alaia</i>	

INVENTOR 2		
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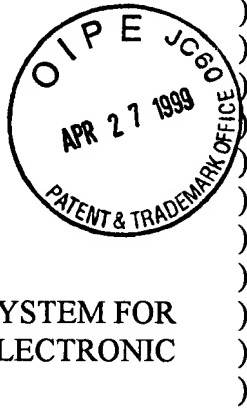
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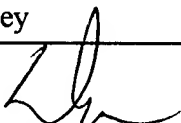
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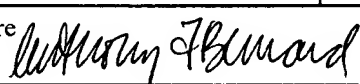
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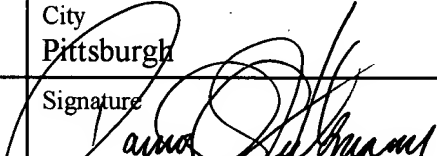
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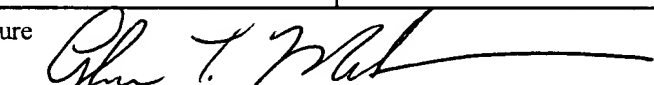
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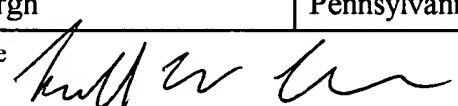
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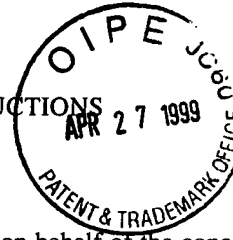
STATEMENT CLAIMING SMALL ENTITY STATUS
37 C.F.R. §§1.9(f) and 1.27(c)-SMALL BUSINESS CONCERN

Applicant or Patentee: Marc ALAIA *et al.*

Application or Patent No.: 09/252,790

Filed or Issued: Filed February 19, 1999

Title: METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS



I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: FreeMarkets OnLine, Inc.

ADDRESS OF CONCERN: One Oliver Plaza, 210 Sixth Avenue, Pittsburgh, Pennsylvania 15222

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS

described in:

- ☐ the specification filed herewith with the title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NAME:		
ADDRESS:		
<input type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> SMALL BUSINESS CONCERN	<input type="checkbox"/> NON PROFIT ORGANIZATION
NAME:		
ADDRESS:		
<input type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> SMALL BUSINESS CONCERN	<input type="checkbox"/> NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Sam E. Kinney, Jr.

TITLE OF PERSON IF OTHER THAN OWNER: Executive Vice President

ADDRESS OF PERSON SIGNING: FreeMarkets OnLine, Inc., One Oliver Plaza, 210 Sixth Avenue

Pittsburgh, Pennsylvania 15222

SIGNATURE

DATE

3/26/99